Attorney's Docket No.: 10591-003008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas R. Firman Art Unit : 2654

Serial No.: 09/783,725

Examiner: Angela A. Armstrong

Filed

February 14, 2001

Conf. No.: 6557

AUTOMATIC ASSEMBLY OF VOICE CONTROL INFORMATION

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the attached PTO-1449 form.

Copies of United States patent documents will be provided upon request. Copies of all non-U.S. patent documents and other documents are enclosed.

The applicant invites the examiner to consider the position of the examiner and replies by the applicant that have been set forth in the prosecution of the following United States patent applications. The specification of these other applications contains at least some material that is similar to the material set forth in the specification of this application. The applicant assumes that the examiner has direct access to these files, but will be happy to provide copies to the examiner upon request.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Typed or Printed Name of Person Signing Certificate

Attorney's Docket No.: 10591-003008

Applicant: Thomas R. Firman

Serial No.: 09/783,725 Filed: February 14, 2001

Page : 2 of 2

Serial No.Filing DateExaminer's NameStatus09/852,04905/09/2001David D. KnepperPending10/102,04703/20/2002Susan I. McFaddenPending

With this Information Disclosure Statement, the applicant submits a Substitute Form PTO-1449 listing certain documents filed in or produced in connection with *Articulate Systems*, *Inc. v. Apple Computer*, *Inc.*, Civ. No. 96-10345-RGS (D. Mass.), litigation U.S. Patent No. 5,377,303, from which the present application claims priority. These documents are being delivered to the patent office in six separate boxes. Other documents associated with this litigation are subject to a protective order governing their disclosure.

The applicant notes that it has not received an initialed copy of the enclosed PTO Forms1449 that accompanied information disclosure statements filed March 22, 2002 and October 9, 2002. Thus, we respectfully request that the examiner initial and return these forms as soon as possible.

This statement is being filed after a first office action on the merits, but before receipt of a final office action or a Notice of Allowance. Please apply any charges or credits to deposit account no. 06-1050, reference 10591-003008.

Respectfully submitted,

Date: 17/16/06

Thomas A. Brown Reg. No. 54,619

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

21519260.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10591-003008	Application No. 09/783,725	
•	sclosure Statement pplicant	Applicant Thomas R. Firman		
(Use several sheets if necessary) 237 CFR §108(b))		Filing Date February 14, 2001	Group Art Unit 2654	

טבנ ט	8 5006			U.S. Patent	Documents			
a. I	ADE Initial	Desig.	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriat e
		AA	5,157,384	10/1992	Greanais			
		AB	5,027,406	06/1991	Roberts		,	
		AC	6,684,188	01/2004	Mitchell et al.			

Other Documents (include Author, Title, Date, and Place of Publication)

Ex.	BATES NO:	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
	A 000110	A 000110	Skenyon, John	1996-02-22	Letter to V. Randall Gard from John Skenyon
			٠		re infringement charges
	A 000132	A 000138	N/A	1985	The Official Proceedings of Speech Tech '85
1					Voice Input/Output Applications Show and
		,			Conference April 22-24, 1985 New York City
				,	Produced by Media Dimensions, Inc. Vol 1,
					Number 2
	A 000139	A 000144	Kurzweil, Raymond	1985	The Kurzweil Voice Writer TM -A Large Voice
			,		Activated Word Processor ©Speech Tech '85
-	4 000145	4 000140	C 111 D' 1 1	1005	pp33-38
	A 000145	A 000148	Goldhor, Richard	1985	"A Large-Capacity Highly-Programmable
					Speech Recognition System" ©Speech Tech '85 pp123-126
-	A 000164	A 000183	N/A	1987	Apple® Human Interface Guidelines: The
	A 000104	A 000163	IN/A	1907	Apple Desktop Interface. Addisson-Wesley
					Publishing Company, Inc. ©1987 pp iii-x, 2-3,
			· .		11, 13, 16-17, 95, 96, 97
	A 000184	A 000207	N/A	N/A	"The Toolbox Event Manager" chapter 8 from
			*		Inside Macintosh
	A 000208	A 000208	Feigenbaum, David	1995-10-30	Letter to Randy Gard from David Feigenbaum
			, -		enclosing U.S. Patent 5,377,303 file history
				·	and cited references
	A 000209	A 000622	Thomas R. Firman	N/A	File history of U.S. 08/165,014
	A 000767	A 000771	Lacoume, J.L.,	1988	"Signal Processing IV: Theories and
			Chehikian, A.,		Applications"
			Martin, N., Malbos,		Proceedings of EUSIPCO-88
			J.		Fourth European Signal Processing
1			(Editors)		Conference
		*			Grenoble, France, September 5-8, 1988
	*				Elsevier Science Publishers B.V. © EURASIP
			· ,		1988
L	L		<u>L</u> .		<u> </u>

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)			Application No. 09/783,725	
	losure Statement	Applicant Thomas R. Firman		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date February 14, 2001	Group Art Unit 2654	

Ex:	BATES NO.	BATES NO.	AUTHOR(S)	DOC. DATE	PDOCUMENTIDESCRIPTION.
	A 000772	A 000780	Lange, Holley	1990	"Voice Recognition and Voice Response: A Report of Tomorrow's Technologies" Proceedings of the Eleventh National Online Meeting May 1-3, 1990. pp 233-240
	A 000781	A 000785	Caelen, J., and Vigouroux, N.	1986	"An Acquisition of Research System for An Evolving Nucleus of Acoustic-Phonetic Knowledge" Eighth International conference on Pattern Recognition. Paris, France October 27-31, 1986. pp 896-898
	A 000786	A 000797	Tanaka, Kazuyo, Hayamizu, Satoru, and Ohta, Kozo	1990	"Sorting and Clustering of Acoustic-Phonetic Variations Based on a Fine-Labeled Speech Database with Applications for Automatic Word Recognition" Transactions of the Institute of Electronics, Information and Communication Engineers Series D. Vol. J73- D-II No. 10 October 1990. pp 1619-1629
	A 000818	A 000820	Evans, Dale	1989-03-00	"Talking to the Bug". Microcad News. March 1990. pp58-60
	A 000821	A 000829	Yavelow, Christopher	1986-06-00	"Digital Sampling on the Apple Macintosh" BYTE. June 1986. pp 171-183
	A 000830	A 000832	Head, John	1987-03-02	"Boulder Software Firm Has Affinity of MAC" The Denver Business Journal. Volume 38 No 23 Sec 1 pg 15. Denver Business Times © 1987
	A 001015	A 001024	Calingaert, Peter	N/A	"Assemblers, Compliers, And Program Translation" Initial Source-Language Processing. pp 142-150
	A 0001025	A 001040			Inside Macintosh Volume I. The Toolbox Event Manager. pp 243-256 © 1985
	A 001063	A 001065	Peterson, James and Silberschatz, Abraham	1985	"Operating System Concepts" Addison- Wesley Publishing Company © 1985. p136
	A 001066	A 001069	Caelen, J. and Vigouroux, N.	1986	"An Acquisition and Research System for an Evolving Nucleus of Acoustic-Phonetic Knowledge". Eighth International conference on Pattern Recognition. Paris, France October 27-31, 1986. pp 896-898
·	A 001070	A 001081	Tanaka, Kazuyo, Hayamizu, Satoru, and Ohta, Kozo	1990	"Sorting and Clustering of Acoustic-Phonetic Variations Based on a Fine-Labeled Speech Database with Applications for Automatic Word Recognition" Transactions of the Institute of Electronics, Information and Communication Engineers Series D. Vol. J73-D-II No. 10 October 1990. pp 1619-1629
	A 001082	A 001086	Brandetti, M.,	1988	"Building Reliable Large Speech databases: an

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	

Substitute Form PTO-1449 (Modified)			Application No. 09/783,725	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CER \$1 98(b))		Applicant Thomas R. Firman		
		Filing Date February 14, 2001	Group Art Unit 2654	

BATESINO BEGIN	BATES:NO:	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
		D'Orta, P., Ferretti, M., Scarci, S.		Automated Approach" Signal Processing IV: Theories and Applications. ©EURASIP 1988. pp147-150.
A 001087	A 001091	N/A	1989	Information on SID™ Software The HearHere Toolbox© 1989 by SID Products
A 001092	A 001105	N/A	N/A	SID-II Assembly and Use Manual
A 001106	A 001112	Shipman, Ty	1985	"MacRecorder A Speech digitizer for the Macintosh" Fall 1985 BMUG Newsletter pp 51-57
A 001171	A 001171	N/A	1992-11-09	Preliminary Amendment for Voice Controlled Computer Interface
A 001172	A 001886	N/A	N/A	Voice Navigator™ Developer's Reference Manual
 A 001887	A 002074	N/A	1993-12-17	Copy of the Appendix of U.S. Patent Application 07/973,435-Voice Controlled Computer Interface
A 002075	A 002085	Feigenbaum, David	1992-11-09	Information Disclosure Statement for Voice Controlled Computer Interface
A 002086	A 002092	Feigenbaum, David	1992-09-24	Fax to Examiner Michelle Doerrier from Feigenbaum re request for comments on attached claims
A 002093	A 002313	N/A	N/A	File history for 07/370,779
A 002314	A 002750	n/A	N/A	Voice Navigator User's Manual (Appendix E to Application for Voice Controlled Computer Interface)
 A 002751	A 002990	N/A	N/A	Voice Navigator [™] Developer's Reference Manual (Appendix D to Application for Voice Controlled Computer Interface)
A 002991	A 002993	Parfitt, Rick	1993-07-28	Email to Steve Austin, Gerry Beauregard, Yen-Lu Chow, Stuart Davidson, Peter de Souza, Adam Fineberg, Kamil Grajski, Caroline Henton, Hsiao-Wuen Hon, Ted
				Kopulous, Kai-Fu Lee, Schoot Meredith, Shankar Narayan, Roque Otero, Tick Parfitt, Christine Pfeiler, Amy Snodgrass, Bob Strong, Jeff Tuckerwood, Baosheng Yuan re Office
A 003152	A 002154	Soblesses 1. Co.	1004.01.10	Memo Articulate & VPC
	A 003154	Schlossareck, Steve	1994-01-10	Letter to Ivan Mimica from Schlossareck re attached letter rewarding Master Software Development Agreement
A 003159	A 003160	Durlach, Peter	1993-11-16	Email to Gursharan Sidhu, Michael Bayer, Tom Ryan, Tim Schaaff re Office Memo from Ivan Mimica Postponing telecom dev
A 003161	A 003161	Ryan, Tom	1993-11-22	Letter to Ivan Mimica from Ryan re

Substitute Form PTO-1449 (Modified)	and the second s		Attorney's Docket No. Application No. 10591-003008 09/783,725		
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Thomas R. Firman			
		Filing Date February 14, 2001	Group Art Unit 2654	,	

Ex. Initials	BATES NO.	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT/DESCRIPTION 1
					presentation of dictation application
	A 003212	A 003218	Durlach, Peter	1993-04-06	Fax to Tim Schaaff from Durlach re Personal Secretary Brochure
	A 003228	A 003232	De Puy Morgan, Dana	N/A	Letter to Developer from De Puy Morgan re ASI Developer enclosing information
	A 003233	A 003234	N/A	N/A	Voice Navigator Sw & II Ad
	A 003235	A 003235	N/A	N/A	Postcard-Articulate Systems-Is on the Move
	A 003236	A 003239	Ito, Russell	1991-09-00	"Voice Navigator II" MacUser. September 1991
	A 003240	A 003241	N/A	N/A	Voice Impact vs MacRecorder Ad and Information
	A 003242	A 003252	N/A	N/A	Voice Navigator Release
	A 003253	A 003254	N/A	N/A	Voice Navigator SW & II Ad and Information
	A 003255	A 003256.	Morgan, Tom	1992-03-26	Fax to Eric Hulteen from Morgan re NDA
	A 003258	A 003258	De Puy Morgan, Dana	N/A	Letter to Articulate Systems Developer from De Puy Morgan re product update
	A 003259	A 003259	N/A	N/A	Articulate Systems Confidential Developer Pricing
,	A 003261	A 003261	N/A	1992-03-34	Non-Disclosure Agreement between Articulate and Apple
	A 003262	A 003263	Morgan, Timothy	1992-03-25	Email to Hulteen from Timothy Morgan re Dutchman
	A 003264	A 003265	N/A	1992-03-24	Handwritten Notes
	A 003268	A 003268	Oberholder, Jane	1990-06-13	Email to Evangelism, Bill Goins, Brian Loucks, Wayne Dyer, Karl May, Susan Marsal, Neil Cormia, Michael Bartlow, Tom Ryan, Scott Converse, David Szetela, Jim Reekes, John Worthington, Bruce Leak, George White, Eric Hulteen, Steve Milne, Don Field, Katie Povejsil, Dan Eilers, Lawrence Lieberman, Horace Enea from Oberholder re Articulate Speaks, Reminder
j 	A 003269	A 003270	Mattioli, Lisa	1990-07-16	Email to Apple Integrated Systems, AA's of Advanced Technology Group, Advanced Technology Managers, Field Marketing Specialists, AppleLink 5.5 Group, AppleTV Members, CPU Product Marketing, Developer Tools\$, Monroe AA/Administrators, multimedia Group, Special Education from Mattioli re Invite fr: Articulate Sys.7/20
	A 003273	A 003274	N/A	1992-02-27	Email to Eric Hulteen from Lisa@media- lab.media.edu re Voice Nav II Questions/Cables
	A 003275	A 003276	Morgan, Timothy	1992-03-25	Email to Eric Hulteen from Morgan re Dutchman

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	ot in conformance and not considered. Include copy of this form with
next communication to applicant.	· · · · · · · · · · · · · · · · · · ·

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Application No. Attorney's Docket No. 09/783,725 10591-003008

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Applicant Thomas R. Firman

Filing Date February 14, 2001 Group Art Unit 2654

(37 CFR §1.98(b))

		BATIESINOS END		· OF SAME	DOGUMENT DESCRIPTION
	A 003277	A 003277	Stifelman, Lisa	1992-05-05	Email to Eric Hulteen from Stifelman re Voice
					Driver for 040's
	A 003278	A 003278	Hulteen, Eric	1992-05-15	Email to Dana Morgan from Hulteen re VN
					SW Support API
	A 003279	A 003279	Morgan, Dana	1992-05-18	Email to Eric Hulteen from Morgan re VN
		0.0	,		SW Support API
	A 003280	A 003281	Hulteen, Eric	1992-05-18	Email to Dana Morgan from Hulteen re
			,		VN/SW API, 2
	A 003282	A 003284	N/A	N/A	Voice Navigator SW Press Release
	A 003285	A 003287	N/A	N/A	Voice Navigator II Press Release
	A 003288	A 003290	N/A	N/A	ASI Developer Information Kit
	A 003296	A 003296	Hulteen, Eric	1992-07-15	Email to Timothy Morgan from Hulteen re
	11003270				Articulate visit to Apple
	A 003297	A 003297	Hulteen, Eric	1992-07-16	Email to Andy Soderberg from Hulteen re
	11.003277	1100025			Articulate Systems
<u> </u>	A 003298	A 003298	Hulteen, Eric	1992-07-24	Email to Dana Morgan from Hulteen re
	A 003230	71 003270	Truncon, Eric	12220, 21	Software-Only VN for PowerBook
	A 003299	A 003299	Hulteen, Eric	1992-07-24	Email to Dana Morgan from Hulteen re Voice
	A 003233	A 003277	Truncon, Line	1552 07 21	Nav. II HW Available
	A 003300	A 003300	Hulteen, Eric	1992-07-24	Email to Dana Morgan from Hulteen re Please
	A 003300	A 003300	Truncen, Eric	1992-07-24	send product catalog
	A 003301	A 003301	Hulteen, Eric	1992-07-27	Email to Dana Morgan from Hulteen re
	A 003301	A 003301	Hulleen, Elic	1992-07-27	Software-Only VN for P
	4 002202	A 002202	Managar Dana	1992-07-27	Email to Eric Hulteen from Morgan re Voice
	A 003302	A 003302	Morgan, Dana	1992-07-27	Nav. II HW avail
	4 002202	A 002202	Manage Dana	1992-09-01	Email to Eric Hulteen from Morgan re Invoice
	A 003303	A 003303	Morgan, Dana	1992-09-01	for Voice Nav
<u></u>	1.002207	4 002200	D. J. J. D.	1994-10-04	Email to Hulteen from Durlach re
	A 003307	A 003308	Durlach, Peter	1994-10-04	PowerSecretary Sta
	1.002200	4 002211	TT to a Pale	1994-10-06	Email to Durlach from Hulteen re
	A 003309	A 003311	Hulteen, Eric	1994-10-00	
		1 000010	D 1 1 D	1004.0.11	PowerSecretary Status Email to Eric Hulteen from Durlach re
	A 003312	A 003313	Durlach, Peter	1994-0-11	
				1001.02.02	PowerSecretary St Email to Canada-SSA Exec Brief Centre et al.
,	A.003319	A 003319	Morgan, Timothy	1991-03-02	
		<u> </u>	<u> </u>	1000 00 06	from Morgan re Voice Navigator Testing
	A 003320	A 003320	Hulteen, Eric	1992-02-26	Email to Dana Morgan from Hulteen re Voice
					Nav II Questions
	A 003448	A 003450	Durlach, Peter	1993-11-16	Email to G. Sidhu from Durlach re postponing
					telecom dev.
	A 003542	A 003544	N/A	1995-09-05	Email to Dick Toepfer from
					EHA@ARTSYS.com
	A 003553	A 003553	Schaaf, Tim	1993-07-14	Email to Peter Durlach from Tim Schaaf re
					Tempest unit on the way!
	A 003593	A 003596	N/A	1992	The Macintosh Telephony Architecture &
					WorldPort © 1992 Apple Computer, Inc.

Examiner	Signature
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Date Considered

Substitute Form PTO-1449 Attorney's Docket No. Application No. U.S. Department of Commerce (Modified) Patent and Trademark Office 10591-003008 09/783,725 Applicant **Information Disclosure Statement** Thomas R. Firman **by Applicant** (Use several sheets if necessary) Filing Date **Group Art Unit** February 14, 2001 2654 (37 CFR §1.98(b))

Ex. 13	BATES NO SE	END		** DOC. DATE	DOCUMENTODESGRIPTION
	A 003597	A 003605	Durlach, Peter	N/A	Memo to Vito Salvaggio, Andy Soderberg, Michael Bayer from Durlach re Articulate Systems Personal Secretary Features & Requirements
	A 003646	A 003676	N/A	1991-12-06	Functional Specification for Voice Control 3.0 revision 0.9
	A 003677	A 003681	N/A	N/A	Proposal for System Software Modifications ASI Control
	A 003729	A 003729	N/A	1988-12-02	Letter to Mike Dilts from Articulate Systems re offer
	A 003730	A 003734	N/A	N/A	Voice Navigator™ 200 and Voice Navigator™ 1000 Overview and Features
	A 003735	A 003736	Jelinek, Frederick	1988-06-01	"The Development and Use of a 20,000 speech-driven word processor" Presented at KBS in Government. Online Publications © 1988 p163
	A 003737	A 003740	Davis, Michael and Pencikowski, Paul	1988	"Reconfigurable Cockpit Development" Northrop Corporation, Aircraft Division. ©1988 IEEE. pp840-843
	A 003741	A 003755	N/A	1988-05- 23/27	National Aerospace Electronics Conference Volume 1 of 4. Table of Contents and Author's Index.
	A 003756	A 003763	Hartley, Craig and Pulliam, Robert, Ph.D.	1986	"Use of Heads-Up Displays Speech Recognition, and Speech Synthesis in Controlling a Remotely Piloted Space Vehicle" ©1986 IEEE pp 338-345
	A 003764	A 003776	Fisher, McGreevy, Humphries, Robinett	1986-10- 23/24	"Virtual Environment Display System". 1986 Workshop on interactive 3D Graphics. Department of Computer Science University of North Carolina, Chapel Hill. pp. 77-87
	A 003777	A 003782	Riley, Christine	1987	"Experiences with an Integrated Voice and Text Message Service" Proceedings of the Human Factors Society-31 st Annual Meeting- 1987. pp 270-274
	A 003783	A 003786	Schnabl, Johann, Boissinot, Rodney	1987	"A Voice-Controlled Integrated Communication Workstation" Speech Technology Oct/Nov. 1987 pp 54-57
	A 003787	A 003791	Wanstall, Brian	1987-06-00	"DVI in the Military Cockpit" Interavia. June 1987. pp 655-660.
	A 003792	A 003806	Mitchell, Christine	1987	"Multimodal User Input to Supervisory Control Systems: Voice-Augmented Keyboard" IEE Transactions on Systems, Man and Cybernetics. Vol SMC-17 No. 4 July/August 1987. pp 594-607
	A 003807	A 003817	Stubben, Mark	N/A	"Pilot's Perspective of the Advanced Fighter

Examiner Signature	Date Considered	
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with	

Substitute	Form	PTO-1449	
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Information Disclosure Statement by Applicant

(Use several sheets if necessary)

(37 CFR §1.98(b))

Thomas R. Firman

Filing Date
February 14, 2001

Group Art Unit
2654

BATIES NO.		AUTHOR(S)	DOC DATE	DOCUMENT DESCRIPTION
				Technology Integration Automated Maneuvering Attack System" Air Force Flight Test Center Edwards AFB, California
A 003818	A 003827	Beckett, P.	1986-04- 15/18	"Voice Control of Cockpit Systems" NATO Advisory Group for Aerospace Research and Development. Information management and
				Decision Making in Advanced Airborne Weapons Systems. Papers presented.
A 003828	A 003834	Fischer, McGreevy, Humphries, Robinett	1986-10-00	"Virtual Workstation: A Multimodal, Stereoscopic Display Environment" SPIE Vol. 726 Intelligent Robots and Computer Vision. pp 517-522
A 003835	A 003841	Hewish, Mark	1987	"Tactical Data Displays More Than Meets the Eye" International Defense Review. March 1987
A 003842	A 003851	Phillip, George and Young, Elizabeth	1987	"Man-machine interaction by voice: Developments in speech technology. Part II: General applications, and potential
				applications in Libraries and Information Services". ©1987 Elsevier Science Publishers B.V. pp 15-23
A 003852	A 003856	Fisher, Scott	N/A	"Virtual interface Environment" Aerospace Human Factors Research Division. NASA Ames Research Center. pp346-350
A 003857	A 003866	Hartley, Craig and Pulliam, Robert	1988	"Use of Heads-Up Displays, Speech Recognition and Speech Synthesis in Controlling a remotely Piloted Space Vehicle" IEEE AES Magazine. July 1988. pp 18-26
A 003867	A 003872	Moore, Thomas and McKinley, Richard	1987	"Speech Research at the Armstrong Aerospace Medical Research Laboratory" Speech Tech '87 pp 156-159
A 003873	A 003878	Simpson, Carol; Ruth, John; Moore, Carolyn	1986	"Integrating Speech Technology to Meet Crew Station Design Requirements" ©1986 IEEE pp 324-329
A 003879	A 003884	Forren, M.G. and Mitchell, C.M.	1986	"Multi-Modal Interfaces in Supervisory Control" Proceedings of the human Factors Society-30 th annual Meeting-1986. pp 317-321
A 003885	A 003892	Forren, Michelle and Mitchell, Christine.	1986	"Voice Input in Real Time Decision Making" Proceedings of the 1986 IEEE International Conference on Systems, Man and Cybernetics. October 14-17, 1986 pp 879-884.
A 003893	A 003897	Gregg, AD and Klimpke, CMH	1986	"A Modular Multiprocessor Simulation Philosophy". Second International Conference of Simulators. September 7-11, 1986.
A 003898	A 003903	Gibson, CP and	1986	"Effective Control Strategies for the Virtual

Exam	iner	Signa	ture
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Date Considered

Substitute	Form	PTO-1449
(Modified)		

U.S. Department of Commerce Patent and Trademark Office

Application No. Attorney's Docket No.

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

(37 CFR §1.98(b))

10591-003008	09/783,725	
Applicant Thomas R. Firman		
Filing Date February 14, 2001	Group Art Unit 2654	٠

Ex. Initials	BATES NO.	BATES NO:	AUTHOR(S)	, DOC. DATE	TA DOCUMENT/DESCRIPTION.
			Furness, TA		Cockpit" SID 86 Digest. Pp 448-451
	A 003904	A 003908	Reif, P.D.	1986	German Article. "Interactive Measuring Equipment in Vehicles" Technisches Messen ™ pp.138-141
	A 003909	A 003911	Schiffler, Richard and Pinkus, Alan	N/A	"Human Factors Research and Development Requirements for Future Aerospace Cockpit Systems" Aeronautical Systems Division. Wright-Patterson AFB. Pp 883-885
	A 003912	A 003924	Dana, William; Smith, William and Howard, John	1986	"Pilot Vehicle Interface on the Advanced Fighter Technology Integration F-16" ©1986 IEEE pp595-607
	A 003925	A 003929	Ferber, Leon	1986-09-00	"Source Data Entry Alternatives" P&IM Review with APICS September 1986. pp 56- 75
	A 003930	A 003934	Hacker, Michael	1986	"Integrated Voice Data Collection for Hybrid Inspections" Speech Technology. March/April 1986. pp76-80
	A 003935	A 003939	Reising, J.M and Moss, Richard	1986-01-00	"The Symbiotic Cockpit". IEE AES Magazine. January 1986. pp 24-27
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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 10591-003008

Application No. 09/783,725

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Thomas R. Firman

Filing Date

Applicant

Group Art Unit

(37 CFR §1.98(b))

February 14, 2001 2654

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		Applicant Thomas R. Firman		
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Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR \$1.98(b))		Applicant Thomas R. Firman		
		Filing Date	Group Art Unit	
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by Applicant (Use several sheets if necessary)		Applicant Thomas R. Firman		
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10591-003008	09/783,725
Applicant Thomas R. Firman	
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		Filing Date February 14, 2001	Group Art Unit 2654

Ex	BATES NO BEGIN	BATIES NO :	AUTHOR(S)	DOC: DATE	DOCUMENTIDESCRIPTION
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Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. Application No. 10591-003008 09/783,725		
	closure Statement	Applicant Thomas R. Firman		
(Use several sh	eets if necessary)	Filing Date February 14, 2001	Group Art Unit 2654	,

Ex.	BATES:NO. ** BEGIN	BATES NO.	AUTHOR(S)	DOC. DATE	DOGUMENTIDESCRIPTION
	A 005879	A 005888	Schmandt, Christopher	1986-05-00	"Voice and Graphics in a Conversational Office Environment". Proc. Of the Second Annual Artificial Intelligence & Advanced
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	A 005973	A 005973	N/A	1991-03-00	Journal of the American Voice I/O Society

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	I of in conformance and not considered. Include copy of this form with
next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10591-003008	Application No. 09/783,725	
(Use several sheets if necessary)		Applicant Thomas R. Firman		
		Filing Date February 14, 2001	Group Art Unit 2654	

Ex.	BATESINO BEGIN	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
					Desktop Audio Issue. Volume 9. March, 1991. Index.
	A 005974	A 005987	Arons, Barry	1991-03-00	"The Design of Audio Servers and Toolkits for Supporting Speech in the User Interface". Journal of the American Voice I/O Society Desktop Audio Issue. Volume 9. March, 1991. pp27-40.
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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attomey's Docket No. Application No. 10591-003008 09/783,725

Information Disclosure Statement by Applicant

Applicant Thomas R. Firman

February 14, 2001

Filing Date

(Use several sheets if necessary)

Group Art Unit 2654

(37 CFR §1.98(b))

Ex. Initials	BATES NO. BEGIN	#BATES NO. END	A AUTHOR(S)	DOC, DATE	DOCUMENT DESCRIPTION
	A 006065	A 006065	N/A	1985	Pg. 321 to Speech Tech '85
	A 006066	A 006067	Vilarreal, James	1985	"The Implementation of Speech I/O to Space Station". Speech Tech '85. pp. 322-323
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	A 006806	A 006956	N/A	N/A	Inside Macintosh, Volume VI.
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	A 007527	A 007543	N/A	1985	Speech Tech '85. Volume 1 Number 2. Articles. pp. 9, 33-38; 123-126
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Examiner Signature

Date Considered

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 10591-003008

Application No. 09/783,725

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Thomas R. Firman

Filing Date

Applicant

Group Art Unit

(37 CFR §1.98(b))

2654 February 14, 2001

Ex.	BATIES NO.	BATES NO:	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
5.1111010385	OLD DESCRIPTION OF THE PROPERTY OF THE PROPERT	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	332-337-3		Articulate Systems.
	A 007552	A 007555	N/A	N/A	Claims to US Patent 5,377,303
	A 007556	A 007563	N/A	N/A	Apple Speech Technology Info
	A 007619	A 007691	N/A	1988	Auto Mac III. Macro Recorder. ©1988 Microsoft Corporation
	A 007692	A 007814	N/A	1988	Tempo II. ©1988 Infinity Microsystems.
	A 007815	A 007820	Stewart, Brad	1988	"Voice Master Key: An Affordable Recognition System for PCs". Speech Technology Sept/Oct 1988
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	A 007848	A 007851	N/A	1987-05-00	MacTutor-The Macintosh Programming Journal. Volume 3. No. 5. May 1987.
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	A 007883	A 007902	N/A	N/A	Human Interface Guidelines: The Apple Desktop Interface
	A 007903	A 007906	Simpson, Henry	1986	Programming the Macintosh™ User Interface. ©1986. McGraw-Hill, Inc. pp.147
:	A 007907	A 007913	Rozenzweig, Edwin et al	1986	Programming the 68000. ©1986 Hayden Book Company. pp.181-184.
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	A 007922	A 007945	Chernicoff, Stephen	1987	Macintosh™ Revealed. Volume Two: Programming with the Toolbox. © 1987. Pp. 11-31
	A 007946	A 007971	Little, Gary	1986	Mac Assembly Language. ©1986. pp. 195-222
	A 007972	A 007973	Salvaggio, Vito	1993-02-24	Email to James Stair et al from Salvaggio
	A 007974	A 007974	Morgan, Timothy	1992-08-13	Email to Michael Bayer from Morgan re Follow Up
	A 007975	A 007976	Schaaff, Timothy	1993-04-01	Email to Vito Salvaggo et al from Schaaff re

Examiner Signature

Date Considered

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10591-003008	Application No. 09/783,725	
	closure Statement pplicant	Applicant Thomas R. Firman		
(Use several sheets if necessary)		Filing Date February 14, 2001	Group Art Unit 2654	

Ex.	BATES NO.	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
Initials	BEGIN	END		1 -	Articulate Funding Follow-Up
	A 007977	A 007978	Zimmerman	1991-09-17	Email to Michael Bayer from Zimmerman re
	A 00/9//	A 00/9/8	Zimmerman	1991-09-17	Question to Mike Bayer
	A 007979	A 007979	Kelts, David	1993-06-28	Email to Michael Bayer et al from Kelts re
	A 00/9/9	A 00/9/9	Keis, David	1995-00-20	ASI needs Apple APIs
	A 007980	A 007980	Kelts, David	1993-07-14	Email to Michael Bayer from Kelts re ASI
	A 007300	A 007700	Rois, Buvia	1333 07 11	needs Mail Manager API
	A 007981	A 007982	Durlach, Peter	1993-02-19	Email to Michael Bayer from Durlach re ASI
					Pod bid
	A 007983	A 007983	Zimmerman	1992-06-30	Email to Jim Pelkey from Zimmerman re ASI
					Product Plan & OCE
	A 007985	A 007985	Morgan, Timothy	1992-08-27	Email to Andy Soderberg from Morgan re ASI
					Update
	A 007986	A 007987	Durlach, Peter	1993-02-01	Email to Peter Durlach, Michael Bayer et al.
					from Durlach re ASI/Cyclone Update
	A 007988	A 007988	Durlach, Peter	1992-12-03	Email to Developer Support Center from
					Durlach re Cyclone DSP Manager Problem
	A 007989	A 007990	Durlach, Peter	1993-04-01	Email to Michael Bayer et al from Durlach re
			=		Cyclone Pod
	A 007991	A 007991	Durlach, Peter	1993-02-04	Email to Michael Bayer from Durlach re
					ASI/Cyclone Update
	A 007992	A 007993	Durlach, Peter	1993-02-04	Email to Michael Bater from Durlach re
				<u> </u>	ASI/Cyclone Update
	A 007994	A 007994	N/A	N/A	Correspondence from "Michael" re enclosed
ļ	1 005005	1 005005	77 1. 75 11	1002 07 12	API
٠.	A 007995	A 007997	Kelts, David	1993-07-13	Email to Gursharan, Sidhu from Kelts re Mail
	4.007000	A 007000	Developely Develope	1002 04 14	Manager API
	A 007998	A 007999	Durlach, Peter	1993-04-14	Email to Michael Bayer from Durlach re Mail Manager API
	4 00000	A 008000	Durlach, Peter	1993-01-28	Email to Michael Bayer from Durlach re Mtg
	A 008000	A 008000	Duriach, Peter	1993-01-20	with ASI
	A 008001	A 008001	Morgan, Timothy	1992-10-15	Email to Jim Pelkey et al from Morgan re
	A 000001	A 000001	Morgan, Timoniy	1992-10-13	Received the MSAM Chapter
- 100	A 008002	A 008004	Durlach, Peter	1993-03-04	Email to Kris Nagel from Durlach re New ASI
	A 000002	A 000004	Duriacii, i cici	1775-05-04	Telecom products
	A 008005	A 008005	Kelts, David	1992-11-03	Email to Jim Pelkey from Kelts
ļ	A 008006	A 008007	Durlach, Peter	1993-02-18	Email to Ron Dumont from Durlach re PS
	71 000000	11000007	Burlach, Teter	1333 02 10	related Open Issues
	A 008008	A 008009	Morgan, Timothy	1992-10-22	Email to Michael Bayer et al from Morgan re
	1100000	1.200000	interguin, ramour,		Purpose of remote Voice D
	A 008014	A 008014	Morgan, Timothy	1992-07-20	Email to Michael Bayer from Morgan re
}					ASI/Apple Mtg
	A 008015	A 008016	Kelts, David	1993-07-14	Email to Michael Bayer from Kelts re ASI
1					assurances on mail API and response
	A 008017	A 008017	Durlach, Peter	1992-12-09	Email to Michael Bayer from Durlach re
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(Use several sheets if necessary)		Applicant Thomas R. Firman		
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Ex!	BATES NO.	ABATES NO E	AUTHOR(S)*	DOC: DATE	DOCUMENT DESCRIPTION
					AT&T and response
	A 008018	A 008018	Corchado, Veronica	1993-02-25	Email to Michael Bayer from Corchado re
					Contact in Small Business?
	A 008019	A 008019	Boone, Jeff	1992-12-07	Email to David Kelts from Cyclone DSP
-					Manager Prob.
	A 008020	A 008021	Morgan, Timothy	1992-07-13	Email to Michael Bayer from Morgan re Help
					and response
	A 008022	A 008023	Durlach, Peter	1992-12-09	Email to Michael Bayer from Durlach re
<u>.</u>					Phone Conversation Follow-up and response
	A 008024	A 008026	Dumont, Ron	1993-02-18	Email to Peter Durlach et al from Dumont re
		<u> </u>		1000 01 01	PS related Open Issues
	A 008027	A 008027	Salvaggio, Vito	1993-01-24	Email to Rick Parfitt from Salvaggio re
				1000 07 12	Articulate and Cyclone
	A 008028	A 008028	Kelts, David	1992-07-13	Email to Michael Bayer from Kelts re Standard Fax File Format
				1002 12 05	
•	A 008029	A 008030	Kelts, David	1992-12-05	Email to Jim Pelkey from Kelts re OCE-
			7.6 m: .1	1000 07 14	Foreign MailReader
	A 008031	A 008032	Morgan, Timothy	1992-07-14	Email to Michael Bayer from Pelkey re Help Email to Michael Bayer from Durlach re Mail
	A 008033	A 008034	Durlach, Peter	1993-04-16	Manager API
	A 008035	A 008037	Durlach, Peter	1993-02-05	Email to Michael Bayer from Durlach re
					Personal Secretary
	A 008038	A 008039	Morgan, Timothy	1992-06-05	Email to Michael Bayer from Morgan re RJ
	A 008040	A 008040	Kelts, David	1992-08-26	Email to Michael Bayer from Kelts re Serial
					Port Power Consumption
	A 008041	A 008042	Durlach, Peter	1992-12-22	Email to Vito Salvaggio et al from Durlach re
					Spanish Govt Project & Cy
	A 008043	A 008043	Kelts, David	1993-02-08	Email to Michael Bayer from Kelts re
	•			<u> </u>	Telephone Pod Schedule P1
	A 008044	A 008044	N/A	N/A	Email to Peter from Michael re response to
					voicemail
	A 008045	A 008045	Durlach, Peter	1993-08-13	Email to Michael Bayer from Durlach re
					Upcoming trip
1	A 008061	A 008069	Durlach, Peter	1996-06-07	Memo to John Cook from Durlach re
	1	ļ			Articulate Systems Personal Secretary
					Features & Requirements
	A 008070	A 008078	Durlach, Peter	1996-06-07	Memo to Vito Salvaggio et al from Durlach re
					Articulate Systems Personal Secretary
					Features & Requirements
	A 008079	A 008081	N/A	1996-06-07	Macintosh Telephony Product Plan
	A 008082	A 008083	Durlach, Peter	1993-01-21	Memo to G. Sidhu from Durlach re Personal
	·	<u> </u>			Secretary
	A 008084	A 008085	N/A	1992-05-15	Press Release "Articulate Systems, Inc.
	1				Announces Voice Navigator SW, A Software
	,		1		Based Voice Recognition Application"

Examiner Signature		Date Considered	
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EXAMPLED: Initials situation con	sidered Draw line through citation if no	at in conformance and not considered. Include copy of this form with	

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		Filing Date February 14, 2001	Group Art Unit 2654	

Ex.	BATES NO.	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENTIDESCRIPTION
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	A 008104	A 008106	N/A	1995-08-07	Apple Computer Press Release "Powerful New Apple Speech Technology Software Included with New Power Macintosh
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	A 008246	A 008249	Venis, Jalynn	1991-11-00	"The next leap in interactive computing". To the Point. November 1991. pp. 27-29
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	A 008252	A 008252	Levy, Steven D.	1989-12-04	Letter to T. Ivan Mimica from Levy re emergence of voice and data processing applications
	A 008253	A 008253	Martin, James E.	1989-04-19	Letter to T. Ivan Mimica from Martin re presentation given by Mimica on voice recognition possibilities
	A 008254	A 008254	Landau, Kenneth	1990-05-23	Letter to Ivan Mimica from Landau re voice recognition applications
	A 008255	A 008255	Oziel, Sidney	1989-03-23	Letter to Dr. Stavros Cademenos from Oziel re

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Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attomey's Docket No. 10591-003008	Application No. 09/783,725
Information Disclosure Statement by Applicant		Applicant Thomas R. Firman	
(Use several sh	neets if necessary)	Filing Date February 14, 2001	Group Art Unit 2654

Ex	BATTESINO BEGIN	BATES NO.	AUTHOR(S)	DOC: DATE	DOCUMENT DESCRIPTION
					Voice Navigator used in presentation to Authorized Apple Resellers
	A 008256	A 008256	Dumont, Ron	1989-10-09	Letter to Ivan Mimica, cc: Tim Morgan and David Fish from Dumont re meeting with A.S.I. on October 5 th ; "Mini-Navigator"; "Fax-Navigator"; speech interface with Apple Computers
	A 008257	A 008257	Dumont, Ron	1990-01-19	Letter to Ivan Mimica from Dumont re plans for the software-only version of the Voice navigator
	A 008258	A 008258	Tino, Mark S.	1989-03-13	Letter to Ivan Mimica from Tino re follow up to meeting regarding the Voice Navigator; opportunity to support efforts to introduce the product
	A 008259	A 008260	Colby, Charles	1990-04-27	Letter to Ivan Mimica from Colby re Voice Navigator demonstration; request for quotation and sample for testing
	A 008261	A 008261	Cohen, Raines	N/A	Colby unveils space-age Stealth Mac.
	A 008262	A 008262	Farland, Denis P.	1990-02-05	Letter to Ivan Mimica from Farland re integration of Articulate System's speech recognition product into Farland Research's development environment
	A 008263	A 008264	Tawil-Kummerman, Alan	1990-02-12	Letter to Ivan Mimica re marketing activity in Europe
*	A 008265	A 008266	Tawil-Kummerman, Alan	1990-02-14	Letter to Alen Koppenaal re: confirmation of common plan of action leading to a Voice Navigator being sold as an option with VeraCard for the Mac.
	A 008267	A 008268	Shigyo, Yoko	1990-03-27	Letter to David A. Fish, Dr. Stavros Cademenos, cc: Ivan Mimica, Dr. Harry Lasker and Mitsuru Hosobe re Voice Navigator proposal.
	A 008269	A 008270	Etoh, Reiko; Hosobe, Mitsuru; Shigyp, Yoko	1990-04-26	Letter to Ivan Mimica cc: Harry Lasker re announcement of M. Hosobe joining Fuji Xerox Japanese Language Business Program and request for completion of a non-disclosure note
	A 008271	A 008272	Hosobe, Mitsuru;	1990-05-09	Letter to Ivan Mimica, Dr. Stavros Cademenos, and Dr. Harry Lasker from Hosobe re Boston MacWorld Exposition and anticipated expenses for development of demonstration software
	A 008273	A 008273	Igolia, Peg and Rose, David	1989-03-21	Letter to Ivan Miminca cc: Pete Durlach re Report on Voice navigator used with disabled clients

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next communication to applicant.	

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		Applicant Thomas R. Firman		
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Ex. Initials	BATES NO. BEGIN	BATES NO.	AUTHOR(S)	DOC. DATE	DOCUMENT DESCRIPTION
	A 008274	A 008274	Weeler, David R.	1998-10-25	Letter to Ivan Mimica from Weller re performance and reliability of voice navigator in conjunction with products for the handicapped.
	A 008275	A 008275	Olson, William A.	1988-12-22	Letter to Ivan Mimica from Oslaon regarding site testing the voice navigator system
	A 008276	A 008276	Moral, Bernard	1989-11-29	Letter to Ivan Mimica re telephone interface applications
	A 008277	A 008277	Zabalaoui, Keith	N/A	Letter to Ivan Mimica re praise of the Voice Navigator
*	A 008278	A 008278	Schmandt, Chris	1989-04-18	Letter to Ivan Mimica re proposal for collaboration of The Media Laboratory at MIT and Articulate Systems.
	A 008279	A 008279	Laping, Arnie	1989-04-14	Letter to Ivan Mimica re request for employment
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	A 008284	A 008285	Naisbitt, John	1990-03-29	Voice Recognition System Gain in Vocabulary, Potential. John Naisbitt's Trend Letter Vol. 9 No. 7, March 29,1990.
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	A 008300	A 008300	Lewis, Peter H.	1989-02-05	A Mac That Hears Its Master's Voice. N.Y. Times, February 5, 1989
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	A 008302	A 008302	Fram, Jonathan	1989-03-06	Industry-Pioneer Little Guys Become Big Guys in the East, PC Week\Business\Finance March 6, 1989, pp. 71
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	A 008306	A 008306	N/A	N/A	News Brief re Voice Navigator
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	A 008308	A 008308	Durlach, Peter	1988, November	Voice Recognition Integrated Into Macintosh Operations, Design Graphics World, November 1988, pp. 21
	A 008309	A 008309	N/A	1989, March	Understanding Its Master's Voice, Computers in Banking, March 1989, pp. 28
	A 008310	A 008310	N/A	1989, April	Mouse and Keyboard with Speech, Byte, April 1989.
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		Filing Date February 14, 2001	Group Art Unit 2654	

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	A 008355	A 008358	Von Elisabeth Kroger	1190	Flogt Aufs Wort, Chip, April 1990 Nr. 4, DM 7,50 pp. 138-140
	A 008452	A 008453	Gable, Jim	1994-10-05	Email to Marc Auerbach et al. from Gable re Aptiva speech recognition
	A 008493	A 008493	Hoch, Steve	1994-07-06	Email to Peter Durlach from Hoch re Power Secretary & Apple
	A 008494	A 008495	Hulteen, Eric	1994-09-15	Email to Kai-Fu Lee from Hulteen re PowerSecretary
	A 008498	A 008498	Manser, Steve	1994-05-20	Email to Marc Auerbach from Manser re Kurzweil Applied
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	Applicant Thomas R. Firman		
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	A 008804	A 008804	N/A	1995-12-06	Handwritten Note
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	1100000	11000501	, , , , , , , , , , , , , , , , , , , ,		Recognition Manager. © Apple Computer,
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-	A 009097	A 009097	Ryan, Tom	1993-11-22	Letter to Ivan Mimica, cc: Duncan Kennedy
	11005057		1		from Ryan re Marketing of dictation
1	·				application
	A 009098	A 009098	Mimica, Ivan	1994-12-30	Letter to Steve Schlossareck from Mimica re
1					Master Software Development Agreement
					#C24-93-00084 (the "Contract")
1	A 009099	A 009099	N/A	N/A	Signature Page: singed by Robert A.
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	A 009111	A 009112	Spitz, Rick	1993-03-03	Letter to Ivan Mimica from Spitz re agreement
	1100				with Articulate for the development of speech
		ļ.			and telephony products for the Macintosh.
	A 009113	A 009113	Durlach, Peter	1993-06-01	Fax Cover Sheet to Steve Schlossareck from
	1,003113				Durlach re brief version of agreement between
1				•	ASO and VPC
1	A 009114	A 009115	N/A	1993-05-11	Executed Letter Agreement to Ivan Mimica
1	11.005114		= " - =		from Voice Processing Corporation re terms
			· ·		and conditions of VPC's proposal
<u> </u>	A 009126	A 009126	Durlach, Peter	1993-04-22	Email to Tim Schaaff from Durlach re
	11 00 7120				ASI/Apple Project
 	A 009137	A 009138	Rada, John F.	1995-04-26	Fax letter to Michael Spinder, Apple
	A 003137	11007130			Computer Inc., cc: Ivan Mimica re request to
	,				meet with Ivan Mimica regarding voice
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Ex. Initials	BATES NO. BEGIN	BATES NO. END	AUTHOR(S)	_DOC. DATE	DOCUMENTIDESCRIPTION
	A 009139	A 009139	Crabb, Don	N/A	The latest in telephone: You'll be calling Wildfire
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	A 009141	A 009143	Hon-Anderson, Eric	1995-09-05	Email to Dick Toepfer re article "What We Patch and Why"
-	A 009147	A 009147	Elphick, Michael	1995-03-09	Fax to Michael Spindler from Elphick re Apple Voice Recognition System
	A 009152	A 009152	Schaaff, Tim	1993-07-14	Email to Peter Durlach from Schaaff re Tempest unit on the way!
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·	A 009280	A 009294	N/A	1992-10-26	Voice Control Library XFCNs: Overview of voice control and references to the C library calls
	A 009295	A 009296	N/A	1992-10-26	Voice Control Library Developer Reference: Overview of Micro Commands
	A 009297	A 009300	N/A	N/A	Overview of Synchronize Commands
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Ex.	BATES NO. BEGIN	BATES NO.	AUTHOR(S)	- DOC. DATE	DOCUMENT DESCRIPTION
	A 009333	A 009333	Articulate Systems, Inc.	n/a	Corporation Background
	A 009337	A 009347	Brennan, Susan E., and Hulteen, Eric A.	April-June 1993	Interaction and feedback in a spoken language system: a theoretical framework. Vol. 8 No. 2-3 Knowledge-Based Systems. April-June 1995. pp 143-151
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	A 010757	A 010757	Karem, Samuel	1994-06-23	Dual microphones separate voice from noise. EDN. pp. 82
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	A 010762	A 010762	Ratcliffe, Mich and Gore, Andrew	1992-03-02	Intelligent agents take U.S. bows. MacWeek Vol. 6 No. 9. pp. 1 & 96.
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-	A 010765	A 010766	Ripkin, Glenn	1991-08-14	Computers that Hear and Response. N.Y. Times, August 14, 1991, at C1
	A 010767	A 010771	Das, Subrata and Nadas, Arthur	April 1992	The Power of Speech. Byte, April 1992. A McGraw-Hill Publication. pp. 151, 152, 154, 156, 158.
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	A 010774	A 010774	Deagon, Brian	N/A	New Tools Emerge To Assist Disabled In Workplace: As Technologies Find Uses In Other Areas, Costs are Expected to Decline.
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	A 010776	A 010777	Doler, Kathleen	N/A	Voice-Activated Dialing Provides Hands-Free Cellular Phone Operation
	A 010778	A 010780	Hutheesing, Nikhil	1994-09-26	Outwitting the human ear, Forbes, September 26, 1994. pp. 164-166.
	A 010781	A 010782	Bulkeley, William	1994-06-06	Speech Recognition Gets Cheaper and Smarter. The Wall Street Journal, June 6, 1991 at B1.
	A 010783	A 010788	Fulton, John	N/A	Question and Answers on Dictation Software for English Speakers
	A 010789	A 010789	Zachary, G. Pascal	N/A	Apple Unveils Breakthrough In Technology:

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Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attorney's Docket No. Application No. 10591-003008 09/783,725		
	Information Disclosure Statement by Applicant		Applicant Thomas R. Firman	
(Use several sheets if necessary)		Filing Date February 14, 2001	Group Art Unit 2654	

Ex.	BATESINO BEGIN	BATESINO:	AUTHOR(S)	DOC. DATE_	DOCUMENT DESCRIPTION
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	A 010790	A 010790	McNair, James	1994-01-17	Slowly, computers learn to take dictation, Miami Herald, January 17, 1994.
	A 010791	A 010791	Negroponte, Nicholas	1994-02-01	Email to IR@wired.com from Negroponte re Taking With Computers attached article Talking With Computers, Wired, March 1994 at 144.
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	A 010799	A 010807	Banet, Bernard	1994-03-07	Speech to Text: Dictation Systems From IBM, Dragon Systems, The Seybold Report on Desktop Publishing, Vol. 8, No. 7, March 7, 1994. pp. 3-11
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anticus ,	A 011101	A 011102	Bunker, Ted	N/A	Voice Recognition Systems Speak to the Need of Today's Service Businesses. Investor's Business Daily.
	A 011103	A 011103	Elmer-Dewitt, Philip	1992-10-08	The Machines Are Listening: Computers still can't take dictation, but they may already understand speech well enough to take your job. Time. August 10, 1992. pp 45
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	A 011109	A 011114	Das, Subrata and Nadas, Arthur	April 1992	The Power of Speech. Byte, April 1992. A McGraw-Hill Publication. pp. 151, 152, 154, 156, 158.
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	A 011117	A 011117	Deagon, Brian	N/A	New Tools Emerge To Assist Disabled In Workplace: As Technologies Find Uses In Other Areas, Costs are Expected to Decline.
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	A 011526	A 011527	Hollis, Robert	1992	"Pen Systems: Will Users Sign Up?" MacWeek. Volume 6 Number 11. pp 32 & 34
	A 011528	A 011529	O'Connor, Rory	1992-03-22	"Its Master's Voice". San Jose Mercury News.
	A 011530	A 011530	Gomes, Lee	1992-04-02	"A fool and his dignity are soon parted". San

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	A 011531	A 011537	Schwartz, John	1992-04-06	"The Next Revolution". Newsweek. April 6, 1992. pp.43-48.
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*	A 011548	A 011549	N/A	1992-05-00	"Smarter Macs". Popular Science. May 1992 pp36-37
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	A 011562	A 011562	N/A	1992-03-02	"The Price of Portable Power". MacWeek. Volume 6 Number 9. March 2, 1992
	A 011563	A 011564	Hollis, Roberts	1992	"Pen systems: will users sign up?". MacWeek. Volume 6 Number 11.

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	A 011567	A 011567	Gomes, Lee	1992-04-02	"A fool and his dignity are soon parted". San Jose Mercury News. April 2, 1992. Pp 1C and 8C.
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	A 011575	A 011575	Myers, Laura	1992-02-25	"Computer responds to voice commands, and even talks back". Orange County Register. February 25, 1992
	A 011576	A 011576	Myers, Laura	1992-02-25	"Talk to your Apple; it understands". San Francisco Examiner. February 25, 1992.
	A 011577	A 011577	N/A	1992-02-25	"Apple's voice-activated prototype recognizes commands, talks back". Atlanta Journal. February 25, 1992.
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	A 011579	A 011579	Blinch, Russell	1992-02-26	"The Macintosh gets ears". The Globe and Mail Toronto Canada.
	A 011580	A 011580	Weber, Jonathan	1992-03-05	"Computerspeak Isn't Lingo Anymore". Los Angeles Times.
0	A 011581	A 011581	Ricci, James	1992-03-14	"Perfect computer, for crying out loud". San Jose Mercury News.
	A 011582	A 011582	Norr, Henry	1992-05-11	"Speech tools to get the Mac talking again". MacWeek. Volume 6 Number 19.
	A 011585	A 011585	Elmer-Dewitt	1992-08-10	"The Machines Are Listening". Time. August 10, 1992. p. 45
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	A 011594	A 011599	Schaffer, Dick	1992-08-00	Interview with Dick Schaffer August 1992. Upside. Pp 55-61
	A 011600	A 011600	N/A	1992-05-00	"Smarter Macs". Popular Science. May 1992 pp36-37
	A 011601	A 011616	N/A	N/A	PlainTalk Manual. © 1995 Apple Computer
	A 011652	A 011655	Silverman, Kim	1995-11-07	Email to Cheryl Hallberg from Silverman re Loads to Do!
	A 011656	A 011656	N/A	1995-08-08	Reality Bytes Software Release
	A 011657	A 011657	N/A	N/A	Imergy Release
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Intidio	A 011659	A 011665	N/A	N/A	Mail Box Contributor Form Instructions
	A 012076	A 012076	Lee, Kai-Fu	1992-04-21	Email to Kevin McCoy et al from Lee re Speech Meeting
	A 012077	A 012077	McCoy, Kevin	1992-04-22	Email to Eric Hulteen from McCoy re Speech Meeting
	A 012081	A 012081	Palmer, Jim	1992-05-11	Email to Eric Hulteen from Palmer re Casper
	A 012082	A 012082	Hulteen, Eric	1992-05-14	Email to Jim Palmer from Hulteen re Casper Reading
	A 012087	A 012087	McCoy, Kevin	1992-04-21	Email to Eric Hulteen from McCoy re Speech Meeting
	A 012088	A 012088	Sazegari, Sara	1992-04-21	Email to Eric Hulteen et al from Sazegari re Speech Apps. Mtf Confirmed
	A 012092	A 012092	Gomoll, Thomas	1992-04-16	Email to Eric Hulteen from Gomoll re HIG/ATG Speech Apps. Reviews
	A 012093	A 012093	Hulteen, Eric	1992-04-16	Email to Brian Loucks from Hulteen re HIG/ATG Speech Apps. Review
	A 012097	A 012097	Sazegari, Sara	1992-03-19	Email to Phac LeTuan from Sazegari re Speech Meeting 3/30
	A 012098	A 012098	Sazegari, Sara	1992-03-19	Email to Phac LeTuan from Sazegari re Speech Meeting 3/30
	A 012099	A 012099	Sazegari, Sara	1992-03-24	Email to Phac LeTuan from Sazegari re Pre- HI/Speech Meeting
	A 012100	A 012100	Hulteen, Eric	1992-03-23	Email to Sazegari from Hulteen re Speech Apps. Demo Meeting Rqst
	A 012104	A 012104	Sazegari, Sata	1992-03-24	Email to Phac LeTuan from Sazegari re Speech. Interface Meeting 3/30
	A 012105	A 012105	Gomoll, Thomas	1992-03-30	Email to Eric Hulteen from Gomoll re Speech User Interface Meet
	A 012123	A 012123	Hulteen, Eric	1992-09-04	Email to Ron Dumont from Julteen re HI meeting Fri 9/4
	A 012124	A 012124	N/A	1992-08-11	Email to Jim Palmer et al from Gateway to Internet/BITNET/UUCP
	A 012130	A 012131	Parfitt, Rick	1992-11-02	Email to Eric Hulteen from Parfitt re Speech Recognition Cy M
	A 012134	A 012134	Parfitt, Rick	1992-08-31	Email to Ron Dumont from Parfitt re TTS UI meeting notes
	A 012135	A 012135	Lockett, Deborah	1992-06-02	Email to Eric Hulteen from Lockett re Cyclone HI
	A 012219	A 012219	Lee, Kai-Fu	1992-06-02	Email to Eric Hulteen from Lee re Developers of Speech Apps
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	A 012559	A 012565	Hulteen, Eric	1991-09-00	"User-Centered Design and Voice-Interactive Applications". Proceedings-Avoid '91. Voice I/O Systems Applications Conference.

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	A 012566	A 012570	Hulteen, Eric	1990-09-00	"Integrating Speech Into The User Interface".
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		*			Representation of Sound". Visual
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	A 015357	A 015360	Strong, Robert	N/A	"Casper: A Speech Interface for the
<u> </u>	<u> </u>			<u> </u>	Macintosh".
ļ	A 015361	A 015361	N/A	N/A	Sample Casper Commands
	A 015362	A 015362	N/A	N/A	Casper Commands Example

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office		Application No. 09/783,725
(Use several sheets if necessary)		Applicant Thomas R. Firman	
		Filing Date February 14, 2001	Group Art Unit 2654

	Other D	Pocuments (include Author, Title, Date, and Place of Publication)
Examiner		
Initial	Desig. ID	Document
	AD	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Objections and Answers to Articulate Systems, Inc.'s First Set of Interrogatories, July 8, 1996.
	AE	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s First Supplemental Objections and Answers to Articulate Systems, Inc.'s First Set of Interrogatories", Nov. 27, 1996.
	AF	Articulate Systems Inc. v. Apple Computer, Inc., "Complaint and Jury Demand", Feb. 2, 1996.
	AG	Articulate Systems Inc. v. Apple Computer, Inc., "Answer and Counterclaim of Defendant to Complaint for Patent Infringement", April 18, 1996.
	АН	Articulate Systems Inc. v. Apple Computer, Inc., "Plaintiff Articulate Systems' Reply to Defendant Apple's Counterclaim", April 25, 1996.
	AI	Articulate Systems Inc. v. Apple Computer, Inc., "Plaintiff Articulate Systems, Inc.'s Responses and Objections to Defendant Apple Computer, Inc.'s First Set of Interrogatories", July 22, 1996.
-	AJ	Articulate Systems, Inc. v Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AK	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit B "Plaintiff Articulate Systems, Inc.'s Responses and Objections to Defendant Apple Computer, Inc.'s First Set of Interrogatories" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AL	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit C "TM file history for VOICE NAVIGATOR (stylized)" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AM	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit D "Rimpexchile letter to Ivan Mimica, May 4, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AN	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit E "Computer Learning Systems, Inc., Puffer letter to Ivan Mimica, March 29, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AO	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit F "Deposition transcript of Barbara Gallinaro", to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AP	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit G "Articulate Systems Inc., Business Plan, as of January 10, 1989" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AQ	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit H "ClinMan Inc, German letter to Ivan Mimica, dated April 22, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AR	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit I "Lockheed Engineering, Shepherd letter to Tom Firman, dated April 25, 1988" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10591-003008	Application No. 09/783,725
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Thomas R. Firman	
		Filing Date February 14, 2001	Group Art Unit 2654

	Other D	Documents (include Author, Title, Date, and Place of Publication)
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	AS	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit J "Maya Brochure" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AT	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit K "Supplemental Information Disclosure Statement, filed December 9, 1993" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AU	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit L "NASA, 'A Speech Recognition Interface for the Advanced Extravehicular Mobility Unit" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AV	Articulate Systems, Inc. v Apple Computer, Inc., Exhibit 2 "TMEP excerpted pages" to "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. § 102(b), February 5, 1997.
	AW	Skenyon, letter to Patricia Coppola dated April 4, 1997, re blowups re offers for sale.
	AX	Hebert, letter to Patricia Coppola dated April 17, 1998, enclosing copies of charts used by Articulate during hearing of April 16, 1998.
	AY	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.
	AZ	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit B, "Deposition of Thomas Firman" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.
	AAA	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit C, "Deposition of David Feigenbaum" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.
	ABB	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit D, "Firman, U.S. Patent Application, Voice Controlled Computer Interface" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.
	ACC	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit E, "Deposition of Timothy John Crawford Morgan" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.
	ADD	Articulate Systems Inc. v. Apple Computer, Inc., Exhibit F, "Examiner's Office Action dated July 14, 1992" to "Defendant Apple Computer, Inc's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 12, 1997.
	AEE	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Certificate of Compliance with Local Rule 7.1(A)(2)" Sept. 12, 1997
	AFF	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Motion for Impoundment", Sept. 12, 1997.
-	AGG	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Statement of Undisputed Material Facts", Oct. 23, 1997.
	АНН	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer's Reply Memorandum In Support of Its Motion for Summary Judgment That Articulate's Patent is Invalid For Indefiniteness", Nov. 12, 1997.

Examiner Signature	Date Considered
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Substitute Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 10591-003008	Application No. 09/783,725
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Thomas R. Firman	
		Filing Date February 14, 2001	Group Art Unit 2654

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner					
Initial	Desig. ID	Olsen, letter to Lussier dated Nov. 3, 1997.			
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	AGG	Lussier, letter to Olson dated Nov. 4, 1997.			
	AKK	Olsen, letter to Lussier dated Nov. 5, 1997.			
	ALL	Articulate Systems Inc. v. Apple Computer, Inc., "Articulate's Opposition to Apple's Motion for Leave to File a Reply Brief in Support Of Its Summary Judgment Motion That Articulate's Patent Is Invalid For Indefiniteness", Nov. 24, 1997.			
	AMM	Articulate Systems Inc. v. Apple Computer, Inc., "Apple Computer Inc.'s Supplemental Memorandum In Support Of Its Motions For Summary Judgment", April 30, 1998.			
	ANN	Articulate Systems Inc. v. Apple Computer, Inc., "Plaintiff's Articulate's Supplemental Memorandum re Apple's Summary Judgment Motions Heard on April 16, 1998", April 30, 1998.			
	AOO	Articulate Systems Inc. v. Apple Computer, Inc., "Report and Recommendation Regarding Defendant's Motion For Summary Judgment That Plaintiff's Patent Is Invalid Under 35 U.S.C. §102(b) (Docket No. 35), April 16, 1999.			
	APP	Articulate Systems Inc. v. Apple Computer, Inc., "Order On Report and Recommendation Of The Magistrate Judge Regarding Defendant's Motion For Summary Judgment On The Issue Of Patent Invalidity", May 11, 1999.			
	AQQ	Articulate Systems Inc. v. Apple Computer, Inc., "Report and Recommendation Regarding Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Articulate's Patent is Invalid For Indefiniteness (Docket No. 135)", July 21, 1999.			
	ARR	Articulate Systems Inc. v. Apple Computer, Inc., "Objections To Report and Recommendation Regarding Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Aug, 4, 1999.			
٠.	ASS	Articulate Systems Inc. v. Apple Computer, Inc., "Articulate's Response to Apple's Objections To The Magistrate Judge's Report and Recommendation Regarding Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Aug 19, 1999.			
. *	ATT	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Motion For Impoundment of Confidential Information", Feb. 5, 1997.			
	AUU	Articulate Systems Inc. v. Apple Computer, Inc., "Defendant Apple Computer, Inc.'s Motion For Summary Judgment That Plaintiff Articulate Systems Inc.'s Patent Is Invalid Under 35 U.S.C. §102(b)", Feb. 5, 1997.			
	AVV	Articulate Systems Inc. v. Apple Computer, Inc., "Articulate's Assented—To Motion To Impound Documents Containing Confidential Material", filed March 12, 1997, allowed April 3, 1997.			
	AWW	"Dependent Claims That Refer to High Level or Higher Level Events", from Patent No. 5,377,303.			
	AXX	Articulate Systems Inc. v. Apple Computer, Inc., Deposition Transcript of Timothy John Crawford Morgan, April 2, 1997.			
	AYY	U.S. Application Serial No. 07/973,435, filed Nov. 9, 1992, "Response to Action Dated 3/11/93", April 13, 1993.			
	AZZ	Articulate Systems Inc. v. Apple Computer, Inc., "Declaration of Christopher N. Olsen In Support of Apple's Motion For Summary Judgment That Articulate's Patent Is Invalid For Indefiniteness", Sept. 9, 1997.			

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attomey's Docket No. 10591-003008	Application No. 09/783,725
	closure Statement	Applicant Thomas R. Firman	
(Use several sh	eets if necessary)	Filing Date February 14, 2001	Group Art Unit 2654

	Other Documents (include Author, Title, Date, and Place of Publication)								
Examiner Initial	Desig. ID	Document							
	AAAA	Articulate Systems Inc. v. Apple Computer, Inc., Transcript of Deposition Upon Oral Examination of Thomas Firman, April 4, 1997.							
	ABBB	Articulate Systems Inc. v. Apple Computer, Inc., Deposition transcript of David L. Feigenbaum, May 2, 1997.							
	ACCC	Application copy, "Voice Controlled Computer Interface", filed June 23, 1989.							
	ADDD	Examiner's action dated July 14, 1992.							
	AEEE	Applicant's response to examiner's action dated January 9, 1992, filed May 11, 1992.							

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 10591-003008

Application No. 09/783,725

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant
Thomas R. Firman

Filing Date

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Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,829,576	05/09/1989	Porter	381	43	
	AB	4,783,803	11/08/1988	Baker et al.	381	42	. ,
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	Other D	ocuments (include Author, Title, Date, and Place of Publication)
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-	AQ	Moser, James, "One Thousand Word Recognizer Expands Applications For Voice Input", Speech Technology, Mar./Apr., 1986, pp. 54-59.
	AR	Goldhor, Richard, "Speech Input System Heeds Data and Commands With 1000-Word Recognition", Electronic Design, June 27, 1985, pp. 147-152.
	AS	Kurzweil, Raymond, "A Large Vocabulary Voice Activated Word Processor", Speech Tech '87, pp. 5-8.
	AŤ	Kurzweil, Raymond, "A Large Vocabulary Voice Activated Word Processor", Speech Tech '85, pp. 33-38.
	AU	http://www.dragonsys.com/main/docs/about/milestone. Html, 9/25/01, p. 7

Examiner Signature

Date Considered

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office						
	closure Statement oplicant	Applicant Thomas R. Firman					
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. (Other D	ocuments (include Author, Title, Date, and Place of Publication)	
Examiner Initial	Desig. ID	Document	
	AV	VoiceScribe DragonWriter, Installation Manual, Release 3.00, 1986, Chapter 1, "About VoiceScribe".	
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APPLICANT Thomas R. Firman

FILING DATE February 23, 1994 GROUP

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	A	4	4	6	2	0	1	3	0	7/24/84	Johnstone et al.	395	2		
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	D	4	-6	8	8	1	,	9	5	8/18/87	Thompson et al.	364	300		
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ATTY. DOCKET NO. 02990/003004

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Thomas R. Fi2rman

(Use several sheets if necessary)

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	BR			M. Brandetti et al., "Building Reliable Large Speech Databases: An Automated Approach", <u>Signal Processing</u>									
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Substitute Disclosure Form (PTO-1449)

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of

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(37 CFR 1.98(b))

Thomas R. Firman

FILING DATE February 23, 1994 GROUP

U.S. PATENT DOCUMENTS

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SERIAL NO. 08/200,886

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) APPLICANT Thomas R. Firman

(37 CFR 1.98(b))

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Substitute Disclosure Form (PTO-1449

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 02990/003006

SERIAL NO. 08/200,886

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) APPLICANT Thomas R. Firman

GROUP 2308

(37 CFR 1.98(b))

FILING DATE February 23, 1994

U.S. PATENT DOCUMENTS

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